

PLAYING WITH ADMISSIBILITY SPECTRA<sup>†</sup>

BY

ROBERT S. LUBARSKY

*Department of Mathematics, Cornell University, Ithaca, New York, USA*

## ABSTRACT

Jensen showed that any countable sequence  $A$  of  $A$ -admissibles is the initial part of the admissibility spectrum of a real  $R$ . His construction generalizes straightforwardly to  $\Sigma_n$ -admissibles. This adaptation makes admissibles not in  $A$   $R$ -inadmissible. We strengthen Jensen's theorem by requiring that  $\Sigma_n(A)$ -admissibles not in  $A$  be  $\Sigma_m(R)$ -admissible or  $\Sigma_m(R)$ -non-projectible, for  $m < n$ .

## §1. Introduction and preliminaries

An ordinal  $\alpha$  is *admissible* if  $L_\alpha \models \Sigma_1$  Replacement and  *$A$ -admissible* ( $A \subset \text{ORD}$ ) if  $L_\alpha[A] \models \Sigma_1(A)$  Replacement. Various results connect admissible ordinals with reals. If  $\alpha$  is a countable admissible, then there is an  $R \subset \omega$  such that  $\alpha$  is the first  $R$ -admissible greater than  $\omega$  ( $\alpha = \omega_1^R$ ). (See Barwise [B], Sacks [Sa], and Steel [St] for three different proofs.) There have been different kinds of generalizations of this result. S. Friedman [F1, F2] considers uncountable admissibles. Jensen [J] realizes a countable sequence  $A$  of countable  $A$ -admissibles as the initial segment of  $R$ -admissibles for some real  $R$ . Sacks [Sa] finds a solution to  $\alpha = \omega_1^R$  minimal in the hyperdegrees, and the author [L] investigates realizing a Jensen-type sequence with minimality at many ordinals. Most of these theorems generalize to  $\Sigma_n$  admissibles (ordinals  $\alpha$  such that  $L_\alpha \models \Sigma_n$  Replacement).

Another strengthening of Jensen's theorem concerns the ordinals not in  $A$ . Using the obvious adaptation of Jensen's proof for realizing a sequence  $A$  of  $\Sigma_n(A)$ -admissibles, the  $\Sigma_n(R)$ -admissibility of  $\alpha \in \text{ORD}/A$  is destroyed by

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sending an  $\omega$ -sequence through it. This renders it not only  $\Sigma_n(R)$ -inadmissible but also  $\Sigma_1(R)$ -inadmissible. Here we show how to clean up spectra while treating the undesirables with gentleness.

The motivation is to kill the  $\Sigma_n$  closure properties of  $\alpha \notin A$  while preserving the  $\Sigma_m$  closure, for an arbitrary  $m < n$ . Phrased this way, the problem becomes more involved, since the intuition of  $\Sigma_m$  closure can be expressed in different ways. Here we consider  $\Sigma_m$ -admissibility and  $\Sigma_m$ -non-projectibility. Our construction breaks into two cases, depending on whether we want to preserve both  $\Sigma_m$ -admissibility and non-projectibility, or just  $\Sigma_m$ -admissibility while destroying  $\Sigma_m$ -non-projectibility.

We conclude with a proof indicating an asymmetry between the two cases, and some questions.

For more detail and proofs regarding the basic material summarized below, see [D] and [MS].

*Definitions*

$\langle X, \varepsilon \rangle$  is  $\Sigma_n$ -admissible if  $\langle X, \varepsilon \rangle \models \text{ZF-Power-Replacement} + \Delta_n \text{ Comprehension} + \Delta_n \text{ Bounding} + \text{Foundation for definable classes}$

$\alpha \in \text{ORD}$  is  $\Sigma_n$ -admissible if  $\langle L_\alpha, \varepsilon \rangle$  is  $\Sigma_n$ -admissible (equivalently,  $L_\alpha \models \Sigma_n \text{ Replacement}$ )

$\alpha \in \text{ORD}$  is  $\Sigma_n$ -non-projectible if  $L_\alpha \models$  "There is no  $\Sigma_n$ -definable 1-1 function from  $V$  into a set"

$\Sigma_n\text{-Adm} = \{ \alpha \mid \alpha \text{ is } \Sigma_n\text{-admissible} \}$

$\Sigma_n\text{-NP} = \{ \alpha \mid \alpha \text{ is } \Sigma_n\text{-non-projectible} \}$

$\hat{\alpha}$  = least primitive recursive closed  $\beta > \alpha$

$\beta$  is  $\Sigma_n(\alpha)$ -stable if  $L_\beta <_{\Sigma_n} L_\alpha$

The  $\Sigma_n$ -projectum of  $\alpha$  ( $\rho_n^\alpha$ ) is the least  $\beta$  such that

$$\exists f \in \Sigma_n(L_\alpha) \quad f: \alpha \xrightarrow{1-1} \beta$$

$A \subset \rho_n^\alpha$  is a  $\Sigma_n(L_\alpha)$  Master Code if

$$\forall B \subset \rho_n^\alpha \quad B \in \Delta_{n+1}(L_\alpha) \quad \text{iff} \quad B \in \Delta_1(L_{\rho_n^\alpha}[A]).$$

If  $\mathcal{P}$  is partial order definable over  $L_\alpha$ ,  $G \subseteq \mathcal{P}$  is  $\mathcal{P}$ -generic (over  $L_\alpha$ ) if  $G$  intersects every dense subset of  $\mathcal{P}$  definable over  $L_\alpha$ .

PROPOSITION (folklore). (1) If  $\alpha \in \Sigma_n\text{-Adm}$  then  $\alpha \in \Sigma_m\text{-Adm}$  and  $\alpha \in \Sigma_m\text{-NP}$ ,  $\forall m < n$ .

(2) If  $\alpha \in \Sigma_n\text{-Adm}$  and  $\beta$  is  $\Sigma_n(\alpha)$ -stable, then  $\beta \in \Sigma_n\text{-Adm}$ .

(3) If  $\alpha \in \Sigma_n\text{-Adm}$  then  $\alpha$  is the limit of  $\alpha$ -many  $\Sigma_{n-1}(\alpha)$ -stables.

**PROPOSITION (folklore).** Suppose  $L_\alpha \vDash$  "There is a largest cardinal". Then

(1)  $\alpha \in \Sigma_n\text{-NP}$  iff  $\alpha$  is a limit of  $\Sigma_n(\alpha)$ -stables.

(2)  $\alpha \in \Sigma_n\text{-Adm}$  iff  $L_\alpha \vDash \Delta_n$  Comprehension.

$\alpha \in \Sigma_n\text{-NP}$  iff  $L_\alpha \vDash \Sigma_n$  Comprehension.

The primary step in proving these is taking the canonical  $\Sigma_n$  Skolem hull of some  $\beta < \alpha$  in  $L_\alpha$ . The Skolem function is  $\Sigma_n$ , so if  $\alpha$  is  $\Sigma_n$  non-projectible then the hull is not all of  $L_\alpha$ . Furthermore, if  $\beta >$  largest  $\alpha$ -cardinal, then the hull is  $L_\gamma$  for some  $\gamma$ . The advantage of assuming a largest cardinal is that the closure properties of admissibility and non-projectibility form a linear hierarchy ( $\Sigma_n \text{ Adm} < \Sigma_n \text{ NP} < \Sigma_{n+1} \text{ Adm}$ ), with each ordinal of a class being a limit of ordinals from the next lower class. This is not true in general, as  $\aleph_\omega$  is fully non-projectible yet  $\Sigma_2$  inadmissible. How can we ensure that there always is a largest cardinal?

**PROPOSITION (folklore).** (1) Let  $I \subseteq \alpha$  be  $\Delta_1(L_\alpha)$ . Let  $\mathcal{P}$  be the product (with finite support) of the (finite) Levy collapse of each  $\iota \in I$  to  $\omega$ :  $p \in \mathcal{P}$  iff  $\text{dom}(p) \subseteq I$  is finite and  $p(\iota) : n \rightarrow \iota$  is 1-1, for some  $n \in \omega$ . Then  $\mathcal{P}$  preserves  $\Sigma_n$  admissibility: if  $\alpha \in \Sigma_n\text{-Adm}$  and  $G$  is  $\mathcal{P}$ -generic, then  $\alpha$  is  $\Sigma_n(G)$ -Admissible.

(2) Let  $I \subseteq \text{ORD}$  be  $\Delta_1$  uniformly over admissible ordinals. Let  $\mathcal{P}$  be as above, and  $\mathcal{P}_\alpha$  be  $(\mathcal{P})^L$ . Let  $\beta < \alpha$  and  $G_\alpha$  be  $\mathcal{P}_\alpha$ -generic. Then  $G_\beta = G_\alpha \cap (\beta \times \omega \times \beta)$  is  $\mathcal{P}_\beta$ -generic.

To see part (1), note that  $\Vdash$  is definable: if  $\text{rk } \varphi < \gamma$ ,  $\varphi$  a bounded formula, then  $p \Vdash \varphi$  iff  $p \cap (\gamma \times \omega \times \gamma) \Vdash \varphi$  (shown inductively on formulae), so  $\Vdash \upharpoonright \mathcal{P} \times \Delta_0$  is  $\Delta_1$ . If  $p \Vdash \varphi$  is a total  $\Sigma_n$  function, then bound  $\text{rng } \varphi$  by the following  $\omega$ -step process. At stage  $n + 1$ , for each  $q \in \mathcal{P}_\alpha$ ,  $x \in \text{dom } \varphi$ , let  $q' \leq q$  force a value  $x(q')$  for  $\varphi(x)$ . Let  $\alpha_{n+1}$  bound the  $L$ -ranks of the  $q$ 's and  $x(q')$ 's. This construction is bounded by  $\Sigma_n$  admissibility. After  $\omega$  many steps we have a pre-dense set forcing  $\text{rng } \varphi$  into a set.

The second part is true because the components are independent of one another: if  $D \subseteq \mathcal{P}_\beta$  is dense, then  $\{p \in \mathcal{P}_\alpha \mid (p \upharpoonright \beta \times \omega \times \beta) \in D\}$  is dense in  $\mathcal{P}_\alpha$ .

All of the preceding definitions, propositions, and proofs relativize to  $A \subseteq \text{ORD}$ .

§2

**THEOREM 1.** *Let  $A$  be a countable sequence of countable  $\Sigma_n(A)$ -admissibles. Let  $m < n$ . There is an  $R \subseteq \omega$  such that  $\forall \alpha \leq \sup A$*

- (1)  $\alpha \in \Sigma_n(R)$ -Adm iff  $\alpha \in A$ .
- (2) If  $\alpha \in \Sigma_n(A)$ -Adm/ $A$  then  $\alpha \in \Sigma_m(R)$ -NP/ $\Sigma_{m+1}(R)$ -Adm.
- (3) If  $k < n$  and  $\alpha \in \Sigma_k(A)$ -Adm/ $\Sigma_n(A)$ -Adm then  $\alpha \in \Sigma_k(R)$ -Adm.

**PROOF.** Without loss of generality we can assume that  $\forall \alpha (\leq \sup A) L_{\check{\alpha}} \vDash \alpha$  is countable. If this were not the case, let  $I = \{\alpha \mid L_{\check{\alpha}} \vDash \alpha \text{ is uncountable}\}$ , and  $\mathcal{P}$  be the Levy collapse of each  $i \in I \cap \sup A + 1$ . With  $G$   $\mathcal{P}$ -generic, work in  $L[G]$  just as we will be working in  $\mathcal{L}$ . Similarly, we assume  $L_{\check{\alpha}}[A] = L_{\check{\alpha}}$  and  $\Sigma_k(A)$ -Adm =  $\Sigma_k$ -Adm, since the proof relativizes to  $L[A]$ .

To each  $\Sigma_n$  admissible  $\alpha$  we will associate  $D_\alpha \subseteq \alpha$  of ordertype  $\alpha$  just as in Jensen's proof, to provide enough scratchwork for each ordinal we're concerned with. The predicate coding  $\langle D_\alpha \mid \alpha < \sup A \rangle$  will not affect  $\Sigma_n$ -admissibility or any weaker property. First we describe how to get suitable clubs of  $\Sigma_n$ -inadmissibles. We use these to build the  $D_\alpha$ 's. The information making  $\alpha$   $\Sigma_{m+1}$ -inadmissible is then coded onto  $D_\alpha$ . Finally, the predicate can be coded by a real.

*Clubs of  $\Sigma_n$ -inadmissibles*

**LEMMA 2.**  $\forall \alpha \leq \sup A \exists C_\alpha \subseteq \alpha$  such that

- (1)  $C_\alpha$  is a club of  $\Sigma_n$ -inadmissibles,
- (2)  $\forall \beta \leq \alpha, C_\alpha \cap \beta \in L_\beta$ ,
- (3) if  $\beta < \alpha, \beta \in \Sigma_n$ -Adm then  $C_\alpha \cap \beta \in L_\beta$ ,
- (4) if  $\beta \leq \alpha, \beta \in \Sigma_m$ -Adm(-NP) ( $m < n$ ) then

$$\beta \in \Sigma_m(C_\alpha)\text{-Adm(-NP)}.$$

**PROOF.**  $C_\alpha$  is any generic for the appropriate forcing  $\mathcal{P}_\alpha$  over  $L_{\check{\alpha}}$ . Note that by our assumption of countability, such generics exist in  $L_{\check{\alpha}}$ .

Inductively on  $\alpha$ , let  $\mathcal{P}_\alpha$  be  $\{p \mid p \text{ is a closed set of } \Sigma_n\text{-inadmissibles bounded in } \alpha \text{ such that (2) and (3) from above hold, and if } \beta \leq \sup p \text{ is } \Sigma_m\text{-admissible or -non-projectible then } p \cap \beta \text{ is bounded in } \beta \text{ or } \mathcal{P}_\beta\text{-generic over } L_\beta\}$ .  $\leq$  is end-extension.

Clearly, any  $\mathcal{P}_\alpha$ -generic in  $L_{\check{\alpha}}$  will satisfy (1)–(3). To show (4), we must show that  $\mathcal{P}_\alpha$  preserves  $\Sigma_m$ -admissibility and -non-projectibility.

If  $\varphi$  is  $\Delta_0$ , let  $p \Vdash \varphi$  iff  $\sup p > \text{rk } \varphi$  and  $L[p] \vDash \varphi$ . Extend  $\Vdash$  to  $\Sigma_n$  formulae as usual:  $p \Vdash \exists x \varphi(x)$  if  $\exists x p \Vdash \varphi(x)$ ; for  $\varphi$  unranked,  $p \Vdash \neg \varphi$  if  $\forall q \leq p$

$q \Vdash \varphi$ .  $\Vdash$  is dense in the standard notion of forcing  $\Vdash_{\Sigma_1}$ : if  $p \Vdash_{\Sigma_1} \varphi$  then  $\exists q \leq p$   $q \Vdash \varphi$ . So  $\Vdash$  suffices for our forcing relation, and is definable:  $\Vdash \upharpoonright \mathcal{P} \times \Sigma_n(\Pi_n)$  is  $\Sigma_n(\Pi_n)$ .

Suppose  $\alpha$  is  $\Sigma_1$ -admissible, and  $p_{-1} \Vdash \forall n \in \omega \exists x_n \varphi(n, x_n)$ . Let  $p_i$  be the least  $p \leq p_{i-1}$  such that that  $p \Vdash \varphi(i, t_i)$ , for some term  $t_i$  of rank  $< \sup p_i$ . Let  $q = \bigcup p_i$ .  $\sup q$  is inadmissible, as  $\langle p_i \mid i \in \omega \rangle$  is  $\Delta_1(L_{\sup q})$ . Therefore  $q' = q \cup \{\sup q\} \leq p$  and  $q' \Vdash \forall n \in \omega \exists^{\sup q} x_n \varphi(n, x_n)$ .

Suppose  $\alpha \in \Sigma_m$ -NP. Let  $\varphi$  be  $\Sigma_m$ ,  $p \in \mathcal{P}_\alpha$ . We must show  $\Sigma_m$  comprehension holds for  $L_\alpha[G_\alpha]$ ; it suffices to find a  $q \leq p$  such that  $\forall n q \Vdash \varphi(n)$ . Let  $\beta$  be the least  $\Sigma_m(\alpha)$ -stable greater than  $\text{rk } \varphi$ . Let  $q' \leq p$  be  $\mathcal{P}_\beta$ -generic over  $L_\beta$ ,  $q' \in L_\beta$ . Let  $q = q' \cup \{\beta\}$ .  $q$  will decide each  $\varphi(n)$ :  $\forall n \exists \gamma q \cap \gamma \Vdash_{L_\beta} \varphi(n)$ . Moreover,

$$q \cap \gamma \Vdash_{L_\beta} \varphi(n) (\neg \varphi(n)) \quad \text{iff} \quad q \cap \gamma \Vdash_{L_\beta} \varphi(n) (\neg \varphi(n)),$$

by stability. Since  $\beta$  was the least stable beyond a given ordinal, it's not even  $\Sigma_m$ -non-projectible, much less  $\Sigma_n$ -admissible, so (3) is satisfied.

Suppose  $\alpha \in \Sigma_m$ -Adm,  $m > 1$ ,  $\varphi$  is  $\Pi_{m-1}$ , and  $p \Vdash \forall n \in \omega \exists x_n \varphi(n, x_n)$ . Let  $\alpha_0 = \max(\text{rk } p, \text{rk}(\text{parameters}(\varphi)))$ .  $\forall q \in \mathcal{P}_\alpha \cap L_{\alpha_0}$   $n \in \omega$  let  $q_n \leq q$ ,  $x_n$  be the least such that  $q_n \Vdash \varphi(n, x_n^{q_n})$ . This operation has bounded range, say by  $\beta_0$ , by  $\Sigma_m$ -admissibility. Let  $\alpha_1$  be the least  $\Sigma_{m-1}(\alpha)$ -stable greater than  $\beta_0$ . Continue as before, extending each  $q \in \mathcal{P}_\alpha \cap L_{\alpha_1}$  to  $q_n \Vdash \varphi(n, x_n^{q_n})$ . Let  $\alpha_\omega = \bigcup \alpha_n$ . As a limit of  $\Sigma_{m-1}(\alpha)$ -stables,  $\alpha_\omega$  is also  $\Sigma_{m-1}(\alpha)$ -stable. Also, for each  $n$

$$D_n = \{q \mid q \Vdash \exists x_n \varphi(n, x_n)\}$$

is dense (in  $L_{\alpha_\omega}$ ), by construction. Let  $q' \leq p$ ,  $q' \in L_{\alpha_\omega}$  be  $\mathcal{P}_{\alpha_\omega}$ -generic.  $q = q' \cup \{\alpha_\omega\}$  is a condition, because  $\alpha_\omega$  is  $\Sigma_m$ -inadmissible (since this construction is  $\Delta_m(L_{\alpha_\omega})$ ). Finally,

$$q \Vdash \forall n \in \omega \exists^{\alpha_\omega} x_n \varphi(n, x_n). \quad \square \text{ Lemma 2}$$

*The  $D_\alpha$ 's*

Using the  $C_\alpha$ 's, we can inductively build predicates which assign  $\alpha$ -many ordinals to each  $\Sigma_n$ -admissible  $\alpha$ .

Let  $\gamma_\nu = \sup\{\rho \leq \nu \mid \rho \in \Sigma_n\text{-Adm}\}$ , and

$$\begin{aligned} \theta_\nu = \{ & f \in L_\nu \mid \text{dom } f = \gamma_\nu, \\ & \text{rng } f = (\gamma_\nu + 1) \cap \Sigma_n\text{-Adm} \\ & \forall \alpha f(\alpha) > \alpha \\ & \forall \alpha \{\beta \mid \beta > \alpha \wedge f^{-1}(\beta) \cap \alpha \neq \emptyset\} \text{ is finite} \\ & \text{letting } F \text{ be } \{(\delta, \delta') \mid f(\delta) = f(\delta')\} \end{aligned}$$

- if  $\alpha \in \Sigma_m\text{-Adm}$  ( $m \leq n$ ),  $L_\alpha[f, F]$  is  $\Sigma_m$ -admissible
- if  $\alpha \in \Sigma_m\text{-NP}$  ( $m < n$ ),  $L_\alpha[f, F]$  is  $\Sigma_m\text{-np}$
- if  $\alpha \in \Sigma_n\text{-Adm}$  then  $f^{-1}(\alpha)$  is unbounded in  $\alpha$
- if  $\alpha \in \Sigma_n\text{-Adm}$  and  $\beta > \alpha$  then  $f^{-1}(\beta) \cap \alpha$  is bounded in  $\alpha$ .

In words,  $\theta_v$  consists of those functions (definable near  $v$ ) which, to each  $\Sigma_n$ -admissible  $\alpha \leq v$ , assign  $\alpha$ -many smaller ordinals ( $D_\alpha$ ), keep the  $D_\alpha$ 's rather separate (last clause), and do not affect admissibility or non-projectibility (up to  $\Sigma_n$ -admissibility).

LEMMA 3. *If  $v' > v$  and  $f \in \theta_v$ , then  $\exists f' \in \theta_{v'}$ ,  $f' \supset f$ .*

PROOF. By induction on  $v'$ , starting with  $v$ .

If  $\gamma_{v'} < v'$ , extend  $f$  to  $f_{\gamma_{v'}} \in \theta_{\gamma_{v'}}$ , and let  $f' = f_{\gamma_{v'}}$ .

If  $\gamma_{v'} = v'$  and  $\gamma_{v'}$  is a successor  $\Sigma_n$ -admissible (after  $\iota$  say), let  $f_i \supseteq f$ ,  $f_i \in \theta_{\iota}$ , and let

$$f' = f_i \cup \{ \langle \alpha, v' \rangle \mid \iota \leq \alpha < v' \}.$$

If  $\gamma_{v'} = v'$  is a limit of  $\Sigma_n$ -Adm and is itself  $\Sigma_n$ -inadmissible, let  $C_{v'} = \langle v_j \mid j \leq v' \rangle$  be a club of  $\Sigma_n$ -admissibles from the previous lemma. Let  $f_{v_{j+1}} \supseteq f_{v_j}$ ,  $f_{v_{j+1}} \in \theta_{v_{j+1}}$  be the least such, and if  $\lambda$  is a limit let  $f_{v_\lambda} = \bigcup f_{v_j}$ .  $f_{v_\lambda} \in \theta_{v_\lambda}$  because of the nice properties of  $C_{v'}$ . Let  $f' = \bigcup f_{v_j}$ .

If  $v'$  is a limit of  $\Sigma_n$ -Adm in  $\Sigma_n$ -Adm then we must also ensure that  $v'$  itself gets  $v'$ -many ordinals. Let  $f' = \bigcup f_{v_j}$  as in the previous case. Let

$$f''(\alpha) = \begin{cases} f'(\alpha) & \alpha \notin C_{v'}, \\ v' & \alpha \in C_{v'}. \end{cases}$$

We use property (3) in the definition of  $C_\alpha$  to know that  $f''^{-1}(\alpha)$  is unbounded in  $\alpha$ , for  $\alpha \in \Sigma_n\text{-Adm}$ . □ Lemma 3

Fix  $f \in \theta_{\text{sup}A}$ . Let  $D_\alpha$  be  $f^{-1}(\alpha)$ . Let

$$F = \{ \langle \delta, \delta' \rangle \mid f(\delta) = f(\delta') \}.$$

*Fixing an  $\alpha$*

Now we concentrate on how to reduce a given  $\Sigma_n$ -admissible  $\alpha$  to a  $\Sigma_m$ -np,  $\Sigma_{m+1}$ -inadmissible. Afterwards we can paste these predicates for different  $\alpha$ 's together using the  $D_\alpha$ 's.

Let  $g_\alpha \subseteq \alpha$  be the least  $\omega$ -sequence cofinal in  $\alpha$ . We will code  $g_\alpha$  into a

$\Sigma_m$ -generic,  $\Delta_{m+1}$ -definably. Genericity preserves  $\Sigma_m$ -np; definability uncodes  $g_\alpha$  in time to make  $\alpha$   $\Sigma_{m+1}$ -inadmissible.

The forcing involved is Cohen forcing. Let

$$\mathcal{P}^\alpha = \{ p \subseteq \gamma < \alpha \mid \forall \delta, p \cap \delta \in L_\delta \text{ and } \delta \in \Sigma_k\text{-Adm(NP)} \\ \text{iff } \delta \in \Sigma_k(p)\text{-Adm(NP)} (k < n) \}.$$

$\Vdash$  is defined as for  $\mathcal{P}_\alpha$  from Lemma 2.

$\mathcal{P}^\alpha$  preserves  $\Sigma_k$ -admissibility and -non-projectibility  $\forall k$ . The proof of this fact is exactly as the proof of the same for  $\mathcal{P}_\alpha$ .

Let  $\{ \alpha_i^m \mid i < \alpha \}$  be the club of  $\Sigma_m(\alpha)$ -stables. Let  $p_0$  be the least  $\mathcal{P}^{\alpha_0^m}$ -generic over  $L_{\alpha_0^m}$ .  $p_0 \in \mathcal{P}^\alpha$ . Let  $p'_0 = p_0$  if  $0 \notin g_\alpha$ ,  $p_0 \cup \{ \alpha_0^m \}$  if  $0 \in g_\alpha$ . More generally, let  $p_{i+1}$  be the least  $\mathcal{P}^{\alpha_{i+1}^m}$ -generic through  $p'_i$  omitting  $\alpha_i^m$  if  $i \notin g_\alpha$ . Let  $p_\lambda = \bigcup p_i$ . Let  $p'_i = p_i$  if  $i \notin g_\alpha$ ,  $p_i \cup \{ \alpha_i^m \}$  if  $i \in g_\alpha$ . Let  $p_\alpha = \bigcup p_i$ .

If  $\alpha_i^m < \beta \cong \alpha_{i+1}^m$ , then  $\beta$ 's admissibility or non-projectibility is preserved by  $p_\alpha$ , by the definition of  $\mathcal{P}^{\alpha_{i+1}^m}$ . If  $\beta = \alpha_\lambda^m$ ,  $\lambda$  a limit,  $\beta$  is  $\Sigma_m(p_\alpha)$ -np: let  $\varphi$  be  $\Sigma_m$ ,  $\text{rk } \varphi < \alpha_i^m < \alpha_\lambda^m$ .  $p_i$  will decide each  $\varphi(n)$  for  $\mathcal{P}^{\alpha_i^m}$ . By  $\Sigma_m$ -elementarity, the same decisions are valid for  $\mathcal{P}^{\alpha_\lambda^m}$ , so in  $L_{\alpha_\lambda^m}[p_\alpha]$   $\varphi$  can be evaluated in  $L_{\alpha_\lambda^m}[p_\alpha]$ . If  $\beta = \alpha_\lambda^m$  is  $\Sigma_k$ -admissible or np ( $m < k < n$ ), it will be  $\Sigma_k(p_\alpha)$ -admissible or np:  $p_\alpha \upharpoonright \beta$  is  $\Delta_{m+1}(L_\beta)$ , because  $\langle \alpha_i^m \mid i < \lambda \rangle$  is  $\Delta_{m+1}(L_{\alpha_\lambda^m})$  and  $g_\alpha \upharpoonright \beta$  is finite.

$\alpha$  itself is  $\Sigma_m(p_\alpha)$ -np, for the same reason that the  $\alpha_i^m$ 's remain  $\Sigma_m(p_\alpha)$ -np. However,  $\alpha$  is  $\Sigma_{m+1}(p_\alpha)$ -inadmissible.  $\langle \alpha_i^m \mid i < \alpha \rangle$  is  $\Delta_{m+1}(L_\alpha)$ , so  $\alpha$  can read off  $g_\alpha$  from  $p_\alpha$  in a  $\Delta_{m+1}$  way.

### The Final Predicate

As a first approximation to the final predicate  $B \subseteq \sup A$ , spread each  $p_\alpha$  along  $D_\alpha$  to get  $p'_\alpha$ . (If  $\alpha \in A$ , let  $p'_\alpha = \emptyset$ .) Let

$$P = \bigcup_{\alpha \in \Sigma_n\text{Adm}} p'_\alpha.$$

Let  $\bar{B} = P \oplus f \oplus F$ .

Recall that the last two components of  $\bar{B}$  do not affect any ordinal's admissibility or non-projectibility. Also,  $\alpha \mapsto \bigcup_{\gamma < \alpha} p'_\gamma$  is  $\Delta_1(L_\alpha[f, F])$  uniformly in  $\alpha$ , since the construction of the previous section is so simply defined. If  $\alpha \in \Sigma_n\text{-Adm}$ , then  $D_\alpha$ , recoverable from  $\bar{B}$ 's third component, is either empty (and  $\alpha \in A$ ) or it brings  $\alpha$  down to where it's supposed to be. We must show only that for the finitely many  $\beta > \alpha$  such that  $D_\beta \cap \alpha \neq \emptyset$ ,  $p'_\beta$  does not really affect  $\alpha$ .

By  $\bar{B}$ 's third component,  $L_\alpha[\bar{B}]$  can separate  $\{ \gamma < \alpha \mid f(\gamma) > \alpha \}$  into finitely

many blocks. Each block separately will preserve  $\alpha$ 's closure, by the definition of the  $p_\beta$ 's. The only possible problem is in the combination of the (finitely many)  $p'_\beta$ 's.

This construction must be altered to account for this problem. Instead of defining  $p_\beta$  over  $L_\beta$ , with initial segments preserving the closure of  $L_\gamma$  ( $\gamma < \beta$ ), do it relative to the amount of  $B$  constructed thus far. More accurately, assume inductively that for  $\gamma < \beta$ ,  $p_\gamma$  has been defined; furthermore,  $\delta \mapsto \bigcup_{\gamma < \delta} p'_\gamma$  is  $\Delta_1(L_\delta[f, F])$  uniformly in  $\delta$ . Let

$$P_\beta = \bigcup_{\gamma < \beta} p'_\gamma, \quad B_\beta = P_\beta \oplus f \oplus F.$$

Let

$$\mathcal{P}^\beta = \{ p \subseteq \gamma < \beta \mid \forall \delta, p \cap \delta \in L_\delta[B_\beta] \text{ and } \delta \in \Sigma_k(B_\beta)\text{-Adm}(-\text{NP}) \\ \text{iff } \delta \in \Sigma_k(B_\beta, p)\text{-Adm}(-\text{NP}) \}.$$

The rest of the construction of  $p_\beta$  carries through just as before, with everything relativized. This avoids the clash of the  $p'_\beta$ 's at  $\alpha$ .

Let

$$P = \bigcup_{\alpha \in \Sigma_n \text{Adm}} p'_\alpha.$$

Let  $B = P \oplus f \oplus F$ .

Finally,  $B$  can be coded into a real, by almost disjoint forcing (Jensen [J] or Jensen-Solovay [JS]). If  $R$  is the real so produced, and  $\alpha$  is closed under addition and  $L_\alpha \models V = \text{HC}$ , then  $B \cap \alpha$  is  $\Delta_1(L_\alpha[R])$ . Also, admissibility and non-projectibility are not disturbed by  $R$ , by its genericity. These properties suffice for the present purpose. □ Theorem 1

### §3

**THEOREM 4.** *Let  $A$  be a countable sequence of countable  $\Sigma_n(A)$ -admissibles. Let  $m < n$ . There is an  $R \subseteq \omega$  such that  $\forall \alpha \leq \sup A$*

- (1)  $\alpha \in \Sigma_n(R)\text{-Adm}$  iff  $\alpha \in A$ .
- (2) If  $\alpha \in \Sigma_n(A)\text{-Adm}/A$  then  $\alpha \in \Sigma_m(R)\text{-Adm}/\Sigma_m(R)\text{-NP}$ .
- (3) If  $k < n$  and  $\alpha \in \Sigma_k(A)\text{-Adm}/\Sigma_n(A)\text{-Adm}$  then  $\alpha \in \Sigma_k(R)\text{-Adm}$ .

**PROOF.** Most of the machinery of the previous proof carries over, primarily the construction of  $f$  and  $F$ . All that remains is to define appropriate  $p_\alpha$ 's.

Even here our previous work is useful. A good technique for reducing  $\alpha$  to the appropriate strength is to shoot a club  $C$  of  $\Sigma_m$ -inadmissibles through it. If  $\alpha$  were  $\Sigma_m(C)$ -np, let  $\beta$  be  $\Sigma_m(C)(\alpha)$ -stable.  $C$  is unbounded in  $\beta$ , so  $\beta \in C$ . But



$\beta \in \Sigma_m$ -Adm by stability. Therefore, for such a club  $C$ ,  $\alpha$  is not  $\Sigma_m(C)$ -non-projectible.

The forcing to do this is like the forcing to get a club of  $\Sigma_n$ -inadmissibles. Conditions are closed bounded sequences  $p$  of  $\Sigma_m$ -inadmissibles such that (1)  $\forall \beta, p \cap \beta \in L_\beta$ , (2) if  $\beta$  is  $\Sigma_k$ -non-projectible or -admissible then  $p \cap \beta$  is bounded in  $\beta$  or generic for this same forcing over  $L_\beta$  ( $k < n$ ), and (3) if  $\beta$  is  $\Sigma_m$ -admissible then  $p \cap \beta$  is bounded in  $\beta$ .  $\cong$  is end-extension.

$\Sigma_m$ -admissibility is preserved by the same argument as before. Any stronger admissibility and non-projectibility of any  $\beta < \alpha$  is preserved, because the generic is bounded in  $\beta$ , hence is in  $L_\beta$ .

As before, define the  $p_\alpha$ 's inductively on  $\alpha \in \Sigma_n(A)$ -Adm, letting  $p_\alpha$  be empty if  $\alpha \in A$ , the least generic over  $L_\alpha[\bigcup_{\beta < \alpha} p_\beta \oplus f \oplus F]$  otherwise.

§4

The alert reader will notice that the definitions of the  $p_\alpha$ 's are fundamentally different in the two proofs. The second proof can be adapted to fit the needs of the first, the appropriate goal being a club of  $\Sigma_m$ -projectibles. Is there an adaptation of the first method to fit the second proof? There are some problems in so doing while retaining the full strength of the theorem.

The  $p_\alpha$ 's of the first theorem are obtained from a predicate  $g_\alpha$  which completely destroys any admissibility, by coding  $g_\alpha \Sigma_m \cup \Pi_m$ -generically, necessitating a  $\Delta_{m+1}$  formula to recover it. In adapting this approach to case (2), it seems unlikely that there is a coding (partial  $\Sigma_m \cup \Pi_m$  genericity?) which would preserve  $\Sigma_m$ -admissibility but not  $\Sigma_m$ -non-projectibility. In what follows we first find a  $g_\alpha$  which makes  $\alpha$  a  $\Sigma_1$ -projectible  $\Sigma_1$ -admissible, and code it  $\Delta_m$  definably. Note how delicate the proof is. Then we state the theorem that this technique yields when plugged into the machinery of Theorem 1. Finally we discuss the limitations of this approach.

Let  $\alpha$  be  $\Sigma_n$ -admissible,  $n > m$ . Assume local countability as in Theorem 1. Let

$$\begin{aligned} \mathcal{P}_\alpha = \{f \mid & \text{dom } f \subseteq \omega \text{ is finite} \\ & f = f_{\text{fixed}} \cup f_{\text{var}}, f_{\text{fixed}} \cap f_{\text{var}} = \emptyset \\ & f_{\text{fixed}} \text{ is 1-1 into } \alpha \\ & f_{\text{var}} \text{ is into } \alpha \cup \{\infty\} \text{ (where } \infty \text{ is some arbitrary} \\ & \text{symbol of finite } V\text{-rank)}\}. \end{aligned}$$

$$g \leq f \text{ iff } \text{dom } g \supseteq \text{dom } f$$

$$g_{\text{fixed}} \supseteq f_{\text{fixed}}$$

$$\text{if } n \in \text{dom } f_{\text{var}} \text{ then } g(n) \geq f(n) \text{ (where } \infty > \alpha \text{)}.$$

A condition is a partial bijection between  $\omega$  and  $\alpha$ , with commitments that  $f(n)$  be at least a certain size, or undefined if  $f(n) = \infty$ . If  $G_\alpha$  is  $\mathcal{P}_\alpha$ -generic, let  $g_\alpha$  be the induced injection from  $\alpha$  into  $\omega$ . Notice that we lose information going from  $G_\alpha$  to  $g_\alpha$ . " $n \notin \text{rng } g_\alpha$ " is  $\Delta_1(L_\omega[G_\alpha])$  (viz.  $\langle n, \infty \rangle \in G_\alpha$ ), but only  $\Pi_1(L_\alpha[g_\alpha])$ .

LEMMA 5.  $L_\alpha[g_\alpha]$  is admissible.

PROOF. This is a retagging argument, in the style of Steel [St]. The idea is that  $p \Vdash \varphi$  depends only on  $p \upharpoonright \text{rk } \varphi$ , so  $\Vdash$  is definable. Henceforth  $\varphi$  is in the language for describing  $L_\alpha[g_\alpha]$ , not  $L_\alpha[G_\alpha]$ .

For  $f \in \mathcal{P}_\alpha$ ,  $\beta < \alpha$ , we define  $f \upharpoonright \beta$ .  $\text{dom}(f \upharpoonright \beta) = \text{dom } f$ ; and if  $f(n) \geq \beta$  then  $f \upharpoonright \beta_{\text{var}}(n) = \beta$ , otherwise  $f \upharpoonright \beta_{\text{fixed}}(n) = f_{\text{fixed}}(n)$ ,  $f \upharpoonright \beta_{\text{var}}(n) = f_{\text{var}}(n)$ . So  $f \upharpoonright \beta$  weakens any information above  $\beta$  to  $\beta$ . Let  $f \sim_\beta g$  if  $f \upharpoonright \beta = g \upharpoonright \beta$ .

$\sim_\beta$  satisfies the extension property: If  $p_0 \sim_\beta p_1$  and  $p'_0 \leq p_0$  then  $\exists p'_1 \leq p_1$ ,  $p'_0 \sim_\beta p'_1$ . From this we get the retagging property: If  $\text{rk } \varphi < \beta$  and  $p_0 \sim_\beta p_1$ , then  $p_0 \Vdash \varphi$  iff  $p_1 \Vdash \varphi$ . Retagging is proved by a straightforward induction, using the extension property in the case of negation. Finally, forcing is definable; more exactly, " $p \Vdash \varphi$ " is  $\Delta_1(L_\beta)$ , where  $\beta > \text{rk } p$ ,  $\text{rk } \varphi$ , uniformly in  $\beta$ . Again, this is a straightforward induction, except for negation which introduces an unbounded quantifier. In the inductive definition of  $\Vdash$  instead of defining " $p \Vdash \neg \varphi$ " as " $\forall q \leq p \ q \not\Vdash \varphi$ ", let it be " $q \upharpoonright \text{rk } \varphi \not\Vdash \varphi$ ".

Using the definability of  $\Vdash$  we now show that admissibility is preserved. Suppose  $p_0 \Vdash \forall n \in \omega \exists x_n \varphi(n, x_n)$ ,  $\beta_0 > \text{rk } p_0$ ,  $\text{rk } \varphi$ . To define  $\beta_{i+1}$ , given  $q$ , let  $\langle q_n, x_n^q \rangle$  be the least such that  $q_n \leq q$ , and  $q_n \Vdash \varphi(n, x_n^q)$ . Let

$$\beta_{i+1} = \sup\{\text{rk}\langle q_n, x_n^q \rangle + 1 \mid q \in \mathcal{P}_\alpha \cap L_{\beta_i}, n \in \omega\}.$$

Let  $\beta = \bigcup_{i \in \omega} \beta_i$ . This construction is  $\Delta_1(L_\alpha)$ , by the definability of  $\Vdash$ , so  $\beta < \alpha$ .

Let  $i: \mathcal{P}_\beta \hookrightarrow \mathcal{P}_\alpha$  be the identity except that all occurrences of  $\infty$  are replaced by  $\beta$ . Identify  $\mathcal{P}_\beta$  with its image. Passing to Boolean completions,  $\overline{\mathcal{P}_\beta}$  is a complete sub-algebra of  $\overline{\mathcal{P}_\alpha}$ , so  $G_\beta =_{\text{def}} G_\alpha \cap \mathcal{P}_\beta$  is  $\mathcal{P}_\beta$ -generic (where  $G_\alpha$  is  $\mathcal{P}_\alpha$ -generic).

$p_0 \Vdash_{\mathcal{P}_\alpha} \forall n \in \omega \exists x_n \varphi(n, x_n)$ , by construction, so if  $p_0 \in G_\alpha$  then  $L_\beta[g_\beta] \models \forall n \in \omega \exists x_n \varphi(n, x_n)$ . But  $\langle L_\beta[g_\beta], g_\beta \rangle = \langle L_\beta[g_\alpha], g_\alpha \rangle$ , so  $L_\alpha[g_\alpha] \models \forall n \in \omega \exists x_n \varphi(n, x_n)$ . □ Lemma 5

Now we code  $g_\alpha$  into a  $p_\alpha \subseteq \alpha \Sigma_{m-1} \cup \Pi_{m-1}$ -Cohen generically,  $\Delta_m$  definably, as in the proof of Theorem 1. Let

$$\mathcal{Q}^\alpha = \{ p \subseteq \gamma < \alpha \mid \forall \delta \ p \cap \delta \in L_\delta[g_\alpha] \text{ and } \delta \in \Sigma_k\text{-Adm(NP)} \\ \text{iff } \delta \in \Sigma_k(p)\text{-Adm(NP) for } k \leq m \ (k < m) \}.$$

$\leq$  is end-extension.  $\mathcal{Q}^\alpha$  preserves admissibility, just like  $\mathcal{P}^\alpha$  from Theorem 1.

Let  $\{\alpha_i \mid i < \alpha\} = \vec{\alpha}$  be the club of  $\Sigma_{m-1}(\alpha)$ -stables. Start with  $p_0 = \emptyset$ . Set  $p'_i = p_i \cup \{\alpha_i + g_\alpha(i)\}$ ;  $p_{i+1} = L[g_\alpha]$ -least  $\mathcal{Q}^{\alpha_{i+1}}$ -generic through  $p'_i$  over  $L_{\alpha_{i+1}}[g_\alpha]$ ;  $p_\lambda = \bigcup_{i < \lambda} p_i$ . We must show that each  $p_i$  is a condition,  $\vec{\alpha} \upharpoonright \alpha_i$  is  $\Delta_m(L_{\alpha_i})$ , and generics over  $\beta$  show up shortly beyond  $\beta$  by local countability so the definability conditions of  $\mathcal{Q}^\alpha$  are easily met. We must show that  $\mathcal{Q}^\alpha$  preserves admissibility and non-projectibility up to  $\Sigma_m$ -admissibility.

We need to speak about  $\Vdash_{\mathcal{P}^\alpha}$  in  $L[g_\alpha]$ . Facts like " $p \Vdash_{\mathcal{P}^\alpha} \varphi$ " are certainly forced by  $g \in G_\alpha$ ; the next lemma shows that  $g_\alpha$  suffices for finding such  $g$ 's.

LEMMA 6. *Suppose  $p, \varphi$  are names for a condition and a formula for  $\mathcal{Q}^\beta$ -forcing ( $\beta \leq \alpha$ ). Then  $\forall g \in \mathcal{P}_\alpha$*

$$g \Vdash "p \Vdash \varphi" \quad \text{iff } g \upharpoonright \text{rk } p \Vdash "p \Vdash \varphi".$$

(Recall the convention on  $\Vdash_{\mathcal{P}^\alpha}$  from the  $\mathcal{P}_\alpha$  of Theorem 1: for  $\varphi$  ranked,  $p \Vdash \varphi$  iff  $\text{sup } p > \text{rk } \varphi$  and  $L[p] \models \varphi$ .)

PROOF.  $g \leq g \upharpoonright \text{rk } p$ , so  $\Leftarrow$  is trivial.

Suppose  $g \Vdash "p \Vdash \varphi"$ . If  $\varphi$  is bounded and  $p \Vdash \varphi$ , then  $\text{rk } p > \text{rk } \varphi$ , so  $\text{rk } "p \Vdash \varphi" = \text{rk } p$ . By the retagging lemma,  $g \upharpoonright \text{rk}(p) \Vdash "p \Vdash \varphi"$ .

If  $\varphi = \exists x \varphi'(x)$ , let  $\tau$  be such that  $g \Vdash "p \Vdash \varphi'(\tau)"$ . Inductively,  $g \upharpoonright \text{rk } p \Vdash "p \Vdash \varphi'(\tau)"$ .

If  $\varphi = \forall x \varphi'(x)$ , let  $f \leq g \upharpoonright \text{rk } p$ . Let  $f' \leq f, g' \leq g$  be such that

$$\begin{aligned} \text{dom } f' &= \text{dom } g', f' \upharpoonright \text{rk } p = g' \upharpoonright \text{rk } p, \\ n \in \text{dom } f' / \text{dom } f &\Rightarrow f'_{\text{var}}(n) = \text{rk } p, \\ n \in \text{dom } g' / \text{dom } g &\Rightarrow g'_{\text{var}}(n) = \text{rk } p, \text{ and} \\ &\text{each of } \text{dom } f', \text{dom } g' \text{ is sufficiently larger than } \text{dom } f, \text{dom } g. \end{aligned}$$

Let  $\theta: \omega \rightarrow \omega$  be a permutation such that

- (1)  $\theta = \text{Id}$  off of  $\text{dom } g'$ ,
- (2)  $g'_{\text{fixed}}(n) \neq f'_{\text{fixed}}(m) \Rightarrow \theta(n) \neq m$ ,
- (3)  $g'_{\text{fixed}}(n) < f'_{\text{var}}(m) \Rightarrow \theta(n) \neq m$ ,
- (4)  $f'_{\text{fixed}}(m) < g'_{\text{var}}(n) \Rightarrow \theta(n) \neq m$ .

$\theta$  induces an automorphism of  $\mathcal{P}_\beta: \theta(p)(n) = p(\theta^{-1}(n))$ . By construction,  $f'$  and  $\theta(g)$  are compatible; let  $f'' \leq f', \theta(g')$ .

$$f'' \Vdash \theta("p \Vdash \varphi") = "\theta(p) \Vdash_{v(\mathcal{P})} \theta(\varphi)".$$

$$f'' \Vdash \theta(p) = p \text{ because if } \theta(n) \neq n \text{ then } f'' \Vdash g_\beta(n) \geq \text{rk } p.$$

Also,  $f'' \Vdash \theta(\varphi) = \varphi$  since  $\varphi$  is a formula in the language for  $L_\beta[p_\beta]$ . Finally,  $\theta(\mathcal{Q}^\beta) = \mathcal{Q}^\beta$  because  $\mathcal{Q}^\beta$  uses  $G^\beta$  in its definition only insofar as it considers members of  $L_\beta[g_\beta]$ , which are unchanged by the finite permutation  $\theta$ . So  $f'' \Vdash "p \Vdash \varphi"$ . Therefore  $g \upharpoonright \text{rk } p \Vdash \neg \neg "p \Vdash \varphi"$ . Since  $\varphi$  begins with  $\forall$ ,  $g \upharpoonright \text{rk } p \Vdash "p \Vdash \varphi"$ . □ Lemma 6

LEMMA 7. For  $\beta = \alpha_{i+1}$  (so  $\beta \in \Sigma_{m-1}\text{-Adm}/\Sigma_{m-1}\text{-NP}$ ),  $\beta \in \Sigma_{m-1}(p_\beta)\text{-Adm}$ .

PROOF. Let MC be the  $\Sigma_{m-2}$  master code for  $L_\beta$ .  $L_\beta[\text{MC}]$  is admissible. By Lemma 5,  $L_\beta[\text{MC}, g_\beta]$  is admissible. By the genericity of  $p_\beta$ ,  $L_\beta[\text{MC}, g_\beta, p_\beta]$  is admissible.

Let  $p, \varphi$  range over  $g_\beta$ -names for  $\mathcal{Q}^\beta$  conditions and  $\Sigma_{m-2} \cup \Pi_{m-2}(L_\beta[p_\beta])$  formulae.  $p^{g_\beta}, \varphi^{g_\beta}$  are their realizations in  $L_\beta[g_\beta]$ . Let

$$\text{MC}'_{\mathcal{P}} = \{ \langle g, p, \varphi \rangle \mid g = g \upharpoonright \text{rk } p \wedge g \Vdash "p \Vdash \varphi" \}.$$

$\text{MC}'_{p_\beta}$  is  $\Delta_{m-1}(L_\beta)$ , hence  $\Delta_1(L_\beta[\text{MC}])$ . In  $L_\beta[\text{MC}, g_\beta]$ , let

$$\text{MC}_{\mathcal{P}} = \{ \langle p, \varphi \rangle \mid \exists g \text{ compatible with } g_\beta, \langle g, p, \varphi \rangle \in \text{MC}'_{\mathcal{P}} \}.$$

$\text{MC}_{\mathcal{P}}$  is  $\Delta_1(L_\beta[\text{MC}, g_\beta])$ , so  $L_\beta[\text{MC}_{\mathcal{P}}, p_\beta]$  is admissible.

By Lemma 6,  $\text{MC}_{\mathcal{P}}$  determines  $\Vdash_{\mathcal{P}} \upharpoonright \Sigma_{m-2} \cup \Pi_{m-2}$ . Let

$$\text{MC}_{p_\beta} = \{ \varphi \mid \exists p \in p_\beta \langle p, \varphi \rangle \in \text{MC}_{\mathcal{P}} \}.$$

$\text{MC}_{p_\beta}$  is  $\Delta_1(L_\beta[\text{MC}_{\mathcal{P}}, g_\beta])$ , so  $L_\beta[\text{MC}_{p_\beta}, p_\beta]$  is admissible. Furthermore,  $\text{MC}_{p_\beta}$  is the  $\Sigma_{m-2}(L_\beta[p_\beta])$ -master code. Therefore,  $L_\beta[p_\beta]$  is  $\Sigma_{m-1}$ -admissible.

□ Lemma 7

LEMMA 8. For  $\beta = \alpha_\lambda$ ,  $\lambda$  a limit (so  $\beta \in \Sigma_{m-1}\text{-NP}$ ),  $\beta \in \Sigma_{m-1}(p_\beta)\text{-NP}$ .

PROOF. Extend the rank function to all formulae, by setting  $\text{rk}(\exists x \varphi) = \text{rk } \varphi$ . Let  $\varphi$  be  $\Sigma_{m-1}(L_\beta[p_\beta])$ ,  $\gamma > \text{rk } \varphi$  a successor  $\Sigma_{m-1}(\beta)$ -stable. Let  $\text{MC}'_{\mathcal{P}}, \text{MC}_{\mathcal{P}}, \text{MC}_{p_\beta}$  be as in Lemma 7, only also allowing  $\Sigma_{m-1} \cup \Pi_{m-1}$  formulae. By Lemma 7, there are  $g \in G_\gamma, p \in p_\gamma, \langle g, p, \varphi \rangle \in \text{MC}'_{\mathcal{P}}$  or  $\langle g, p, \neg \varphi \rangle \in \text{MC}'_{\mathcal{P}}$ .  $g = g \upharpoonright \text{rk } p < \gamma$ , so  $g \in G_\beta, p \in p_\beta$ . By stability,  $\langle g, p, (\neg) \varphi \rangle \in \text{MC}'_{\mathcal{P}}$ . So  $\Sigma_{m-1}$  truth in  $L_\beta[p_\beta]$  reflects. □ Lemma 8

**LEMMA 9.** *If  $\beta = \alpha_\lambda \in \Sigma_m$ -Adm then  $\beta \in \Sigma_m(p_\beta)$ -Adm.*

**PROOF.** Let  $MC'_{\mathcal{G}}, \gamma \leq \beta$ , be as in Lemma 8. We have already seen that for  $\varphi \in \Sigma_{m-1} \cup \Pi_{m-1}$ ,  $g_\gamma$  and  $p_\gamma$  determine  $\varphi$  in both  $L_\gamma[p_\gamma]$  and  $L_\beta[p_\beta]$ , for  $\gamma$  the least  $\Sigma_{m-1}(\beta)$ -stable  $> \text{rk } \varphi$ . So  $\{\varphi \mid \exists \gamma > \text{rk } \varphi, \gamma \Sigma_{m-1}(\beta)\text{-stable}, \exists g \text{ compatible with } g_\beta \text{ and } p \text{ with } p_\beta \langle g, p, \varphi \rangle \in MC'_{\mathcal{G}}\} = MC_{p_\beta}$  is the  $\Sigma_{m-1}(L_\beta[p_\beta])$ -master code. The sequence of stables, as well as the  $MC'_{\mathcal{G}}$ 's, are  $\Delta_m(L_\beta)$ , hence  $\Delta_1(L_\beta[MC])$ , where MC is the  $\Sigma_{m-1}(L_\beta)$ -master code.  $L_\beta[MC]$  is admissible, so  $L_\beta[MC, g_\beta, p_\beta]$  is also, as well as  $L_\beta[MC_{p_\beta}, p_\beta]$ . So  $L_\beta[p_\beta]$  is  $\Delta_m$ -admissible.

□ Lemma 9

Since  $\vec{\alpha}$  is  $\Delta_m(L_\alpha)$  and length  $\vec{\alpha} = \alpha$ ,  $g_\alpha$  is  $\Delta_m(L_\alpha[p_\alpha])$ , so  $L_\alpha[p_\alpha]$  is  $\Sigma_m$ -projectible. Using these  $p_\alpha$ 's, we get the following:

**THEOREM 10.** *Let  $A$  be a countable sequence of countable  $\Sigma_n(A)$ -admissibles. Let  $m < n$ . There is an  $R \subseteq \omega$  such that  $\forall \alpha \leq \sup A$*

- (1)  $\alpha \in \Sigma_n(R)$ -Adm iff  $\alpha \in A$ .
- (2) If  $\alpha \in \Sigma_n(A)$ -Adm/ $A$  then  $\alpha \in \Sigma_m(R)$ -Adm/ $\Sigma_m(R)$ -NP.
- (3) If  $k \leq m$  and  $\alpha \in \Sigma_k(A)$ -Adm then  $\alpha \in \Sigma_k(R)$ -Adm.

(3) holds because all properties strictly weaker than  $\Sigma_m$ -non-projectibility were preserved everywhere at all times. (2) holds by the construction of  $p_\alpha$ . (1) holds primarily because for each of the finitely many  $\beta > \alpha$  such that  $D_\beta \cap \alpha \neq \emptyset$ ,  $D_\beta \cap \alpha$  is bounded in  $\alpha$ . So when  $\alpha$  recovers  $g_\beta$  (as much as it can) in a  $\Delta_m$  way, all it gets is  $g_\beta \cap (\gamma \times \omega)$ , some  $\gamma < \alpha$ , which is set-generic over  $L_\alpha$ . Set genericity does not impair any closure. The failure of this theorem is that we have no such guarantee for  $\alpha \in \Sigma_k(A)$ -Adm/ $\Sigma_n(A)$ -Adm, where  $m < k < n$ . There could be a  $\beta > \alpha$  such that  $L_\alpha <_{\Sigma_{m-1}} L_\beta$  and order-type  $(D_\beta \cap \alpha) = \alpha$ . In this case,  $g_\beta \cap (\alpha \times \omega)$  is  $\Delta_m(L_\alpha[p_\beta \cap \alpha])$ , and is an injection of  $\alpha$  into  $\omega$ .

The point of this is to sharpen our understanding of these closure properties. Given local countability they fall into a neat linear order based on Comprehension:

$$\Delta_1 \text{ Comp} < \Sigma_1 \text{ Comp} < \Delta_2 \text{ Comp} < \Sigma_2 \text{ Comp} < \dots$$

From this picture, one might conjecture homogeneity, in that the passage from one point in this sequence to the next should be just like the passage from any other point to its successor. Our work suggests that this is false, and that  $\Sigma_m$ -admissibles and -non-projectibles are more closely tied than are  $\Sigma_m$ -non-projectibles and  $\Sigma_{m+1}$ -admissibles. Can this be made precise? Is there

a forcing partial order over which a certain partial genericity preserves  $\Sigma_m$ -admissibility but not  $\Sigma_m$ -non-projectibility?

Along a different line, one can ask about starting with a sequence  $A \subseteq \Sigma_n(A)$ -NP.<sup>†</sup> This paper's construction relied on local countability, for the existence of generics. Levy forcing does not always preserve non-projectibility: if  $L_\alpha \models V = L_{\aleph_\omega}$  and  $L_\alpha[g] \models V = \text{HC}$ , then  $L_\alpha[g] \not\models \Sigma_2 \text{ NP}$ . So if such an  $\alpha$  is the first member of  $A$ , then  $A$  cannot be realized as the  $\Sigma_2$ -NP spectrum of a real. If we restrict our attention to those sequences which seem not to demand local countability, then S. Friedman has shown [F], [F2] that even for the first case, getting  $\alpha$  to be the least  $R$ -admissible  $> |\alpha|$  for some  $R \subseteq |\alpha|$ , not all admissibles have such an  $R$ . So for a construction without cardinal collapses, or for retaining a sequence of  $\Sigma_n$ -non-projectibles, what are good analogues of the present theorems?

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